Global Stability and Asymptotic Gain Imply Input-to-State Stability for State-Dependent Switched Systems

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Abstract—In this paper we study several stability properties for state-dependent switched systems. We examine the gap between global asymptotic stability and uniform global asymptotic stability, and illustrate it with an example. Several regularity assumptions are proposed in order to obtain the equivalence between these two stability properties. Based on this equivalence, we are able to show that global stability and asymptotic gain imply input-to-state stability for state-dependent switched systems, which is the main result of the paper. The proof consists of a bypass via an auxiliary system which takes in a bounded disturbance, and showing that this system is uniformly globally asymptotically stable.

I. INTRODUCTION

Input-to-State Stability (ISS), first introduced by Sontag in [1], turned out to be an important and widely used concept for characterizing a system’s response to inputs. While ISS is normally defined in terms of the sum of an initial-state-dependent, time-decaying estimate and an input-dependent estimate, it also has many other characterizations, each with its own advantages. For example, ISS is equivalent to the validity of a dissipation inequality for an appropriately defined energy storage function; ISS is also equivalent to the Uniform Asymptotic Gain (UAG) property (see, e.g., [2]). Here we are interested in the close relation of ISS with the Global Stability (GS) property and the Asymptotic Gain (AG) property; these two properties combined were shown to be equivalent to ISS for single-mode, Lipschitz systems in [3].

In our prior work, we have designed state feedback controllers with quantized state measurements, via zoom-in/out techniques, for achieving disturbance attenuation. This controller design can be applied to single-mode linear systems with inputs [4], or to switched linear systems with inputs [5]. The closed-loop system was proven to be GS and AG with respect to the external disturbance, yet this does not immediately result in ISS as the closed-loop system is a switched system and so the theorem from [3] is not directly applicable. A strictly weaker version of ISS with parametrization was shown in [6], with significant extra effort.

Motivated by the above reasons, we want to study ISS for switched systems, in particular the implication from GS plus AG to ISS. It is observed that in quantized controller design, the zoom events and transitions of control law typically occur when the error exceeds certain bounds; in other words, the switch is triggered when the system state reaches certain regions in the state space. Accordingly, we choose to focus on state-dependent switched systems; see, e.g., [7]. (We note that event-triggered control systems [8] can also be captured in a similar modeling framework.) As a popular type of hybrid systems, state-dependent switched systems have attracted a lot of research recently (see, e.g., [9], [10] among many other works). Our main task in this paper is to formulate assumptions under which the implication from GS plus AG to ISS holds for state-dependent switched systems.

It is identified in this paper that the major gap between GS plus AG and ISS is the uniformity of convergence time. Briefly speaking, the lack of uniformity lies in the nature of state-dependent switched systems, namely, in the fact that solutions evolving from adjacent initial states may behave very differently because they are in different modes. As a result, while AG guarantees that all solutions will converge to the equilibrium, the time to converge to a small set is no longer continuous with respect to the initial states and hence a uniform upper bound on the convergence time may not exist; consequently the system may not be ISS. This gap can be filled by imposing suitable regularity conditions; for example, in the hybrid system framework of [11], the system solution space is closed, and it is concluded that global pre-asymptotic stability is equivalent to uniform global pre-asymptotic stability. It is also noted that GS plus AG is related to the nonuniform ISS defined in [12], which is shown to imply ISS if this nonuniform ISS still holds when either the dynamics of the system or switch guards/rules are perturbed.

Motivated by [13], we would like to impose transversality of solutions with respect to switch guards in our model. The idea of transversal solutions can be traced back to [14] in the 1980s. In [15] the transversality condition is also shown to be essential for trajectory sensitivity analysis. With this assumption of transversality, we can eventually draw the equivalence between GS plus AG and ISS.

This paper is divided into 8 sections. Section II introduces the necessary notation and stability-related definitions. Section III provides an ill-behaved example and proposes the assumptions needed for the problem to be well posed. Section IV states the main theorem. Sections V and VI contain the supporting lemmas. Section VII compares our model with another one from the literature and discusses some possible improvements. Finally, Section VIII concludes the paper.

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II. Preliminaries

A. Basic definitions and notations

Our state-dependent switched system deploys a model from [13], which has a similar setup as the state-dependent switched system model in [7] and the references therein. Let $I = \{1, 2, \ldots, I\}$ be the set of modes of the system and for each $i \in I$, define functions

$$f_i(x, u) : \mathbb{R}^n \times U \to \mathbb{R}^n.$$  

These are the dynamics for each mode and we require $f_i(x, u)$ to be locally Lipschitz in both $x$ and $u$ for all $i \in I$. Here $U \subseteq \mathbb{R}^m$ is the input value set. We then define $M_U$ as the set of all locally essentially bounded functions from $\mathbb{R}_{\geq 0}$ to $U$. Let $S_i \subseteq \mathbb{R}^n$ be the admissible regions of the state $x$ in mode $i$. $S_i$'s are not necessarily disjoint, meaning the system can have same state while in different modes. Define the total admissible hybrid state space to be $S = \cup_{i \in I} S_i \{i\}$. Define the switch guards $E_{i,j} \subseteq \mathbb{R}^n$ so that a switch from mode $i$ to $j$ occurs when $x \in E_{i,j}$ and $\sigma = i$. By convention $E_{i,i} = \emptyset$ and $E_{j,k}$ can be empty for lots of other indices $j$, meaning the switch from mode $i$ to $j$ will never happen. Here are some regularity assumptions on the switch guards:

A1: $E_{i,j} \subseteq \text{int} S_j \forall i, j \in I$, and

$$\cup_{j \in I} E_{i,j} = \partial S_i \forall i \in I.$$  

A2: each $E_{i,j}$ is closed and

$$E_{i,j} \cap E_{i,k} = \emptyset \forall j \neq k, i, j, k \in I.$$  

Here (1) ensures that the solution is still in the admissible hybrid state space after each switch. Equation (2) ensures the occurrence of a switch when the state is at the boundary of an admissible region, and (3) guarantees that when a switch is about to occur, the mode-to-be is unique.

The dynamics of a forward complete, state-dependent switched system $(\Sigma)$ is defined as follows:

$$\begin{cases} 
\dot{x} = f_i(x, u) & \text{if } x \in \text{int} S_i \\
x^+ = x & \text{if } x \in \partial S_i 
\end{cases}$$  

with initial condition $(x_0, \sigma_0) \in S$. We denote the state and mode of the solution at time $t$ as $x(t, x_0, \sigma_0, u), \sigma(t, x_0, \sigma_0, u)$ respectively. When $(x_0, \sigma_0) \in S$ is given and $u \in M_U$ is fixed, we can simplify the two notations to be $x(t), \sigma(t), \text{respectively.}$ Sometimes we will simply call $x(t, x_0, \sigma_0, u)$ the solution of system $(\Sigma)$ while ignoring the current mode the system is in. Because of Assumption A1, we see that $(x(t), \sigma(t)) \in S$ for all $t \geq 0, u \in M_U$. In addition, (5) is well defined when $x \in \partial S_i$ because (3) in Assumption A2 tells us that the mode-to-be is unique.

For any $r > 0$ and set $\Omega$, define ball

$$B_r(\Omega) := \{x : |x - y| < r \text{ for some } y \in \Omega\}$$  

Let $B_r(\Omega)$ be the closure of $B_r(\Omega)$. In case $\Omega = \{0\}$, we simplify the notations to be $B_r, \bar{B}_r$, respectively. The ambient space where $\Omega$ is in will be made clear in the context.

For two sets $A, B \subseteq \mathbb{R}^n$ define the metric

$$d(A, B) := \inf_{x \in A, y \in B} |x - y|.$$  

It naturally reduces to the case when one of them is only a single point $x \in \mathbb{R}^n$ and we abuse the same notation

$$d(A, x) := \inf_{y \in A} |x - y|.$$  

Finally, we say a function $\rho(t) : [0, \infty) \to [0, \infty)$ is a class $\mathcal{K}_\infty$ function if it is strictly increasing with $\rho(0) = 0$ and $\lim_{t \to \infty} \rho(t) = \infty$. We say a function $\beta(\xi, t) : [0, \infty) \times [0, \infty) \to [0, \infty)$ is a class $\mathcal{K}$ function if it is strictly increasing in $\xi$, decreasing in $t$, $\beta(0, t) = 0$ for all $t \geq 0$ and $\lim_{t \to \infty} \beta(\xi, t) = 0$ for all $\xi \geq 0$.

B. Auxiliary system

Let $r > 0$ and let $\rho$ be a class $\mathcal{K}_\infty$ function. Define

$$f^\rho_i(x, d) := f_i(x, \rho(|x|) d), \quad i \in I$$  

Define the auxiliary system $(\Sigma^\rho)$ for $(\Sigma)$ as follows:

$$\begin{cases} 
\dot{x} = f^\rho_i(x, d) & \text{if } x \in \text{int} S_i \\
x^+ = x & \text{if } x \in \partial S_i 
\end{cases}$$  

with initial condition $(x_0, \sigma_0) \in S$ and disturbance $d \in \mathcal{M}_D, D = B_1$. Similarly we denote the state and mode of this auxiliary system $(\Sigma^\rho)$ by $x^\rho(t, x_0, \sigma_0, d), \sigma^\rho(t, x_0, \sigma_0, d)$ respectively. Notice that by this definition, $x^\rho(t, x_0, \sigma_0, d) = x(t, x_0, \sigma_0, \rho(|x|) d), \sigma^\rho(t, x_0, \sigma_0, d) = \sigma(t, x_0, \sigma_0, \rho(|x|) d)$ for all $t \geq 0, (x_0, \sigma_0) \in S, d \in \mathcal{M}_D$. The construction of an auxiliary system is a common technique practiced in the literature (see, e.g., [3],[17]) and we also would like to mimic those techniques in this paper. The relation between $(\Sigma)$ and $(\Sigma^\rho)$ will be discussed in Section V.

C. Stability definitions

First of all, $f_i(0, 0) = 0$ for all $i \in I$ such that $0 \in S_i$ imply $x(t, 0, \sigma_0, 0) \equiv 0 \forall t \geq 0, (0, \sigma_0) \in S$. In this case we say $0$ is an equilibrium to the system $(\Sigma)$.

Consider the case when $U = \mathbb{R}^m$; that is, when the control is unconstrained. We say the system $(\Sigma)$ has global stability (GS) property if bounded initial states and controls produce uniformly bounded trajectories and, in addition, small initial states and controls produce uniformly small trajectories:

$$\exists \sigma, \gamma \in \mathcal{K}_\infty \text{ s.t. } \forall (x_0, \sigma_0) \in S, \forall u \in \mathcal{M}_U, \sup_{t \geq 0} |x(t, x_0, \sigma_0, u)| \leq \max \{\sigma(|x_0|), \gamma(||u||_\infty)\}.$$
The system \((\Sigma)\) has asymptotic gain (AG) property if every trajectory must ultimately stay not far from the origin, depending on the magnitude of the input:

\[
\exists \gamma \in K_{\infty} \text{ s.t. } \forall (x_0, \sigma_0) \in S, \forall u \in M_{\mathcal{U}},
\limsup_{t \to \infty} |x(t, x_0, \sigma_0, u)| \leq \gamma(\|u\|_{\infty}).
\]

The system \((\Sigma)\) is input-to-state stable (ISS) if

\[
\exists \beta \in KL, \gamma \in K_{\infty} \text{ s.t. } \forall (x_0, \sigma_0) \in S, \forall u \in M_{\mathcal{U}},
|x(t, x_0, \sigma_0, u)| \leq \beta(|x_0|, t) + \gamma(\|u\|_{0, t}).
\]

The next few stability definitions will only be used on the auxiliary system \((\Sigma^p)\) whose input value set \(\mathcal{D}\) is the unit ball. Nevertheless, we state the definitions for the general state-dependent switched systems \((\Sigma)\) when \(\mathcal{U}\) is bounded. We say a system \((\Sigma)\) is globally asymptotically stable (GAS) if the system is stable in the sense that

\[
\forall \varepsilon > 0, \exists \delta > 0 \text{ s.t. } \forall (x_0, \sigma_0) \in S \text{ with } |x_0| \leq \delta,
\sup_{t \geq 0, u \in M_{\mathcal{U}}} |x(t, x_0, \sigma_0, u)| \leq \varepsilon
\]
and is attractive in the sense that

\[
\forall (x_0, \sigma_0) \in S, u \in M_{\mathcal{U}}, \lim_{t \to 0} x(t, x_0, \sigma_0, u) = 0.
\]
Further, the system \((\Sigma)\) is said to be uniformly globally asymptotically stable (UGAS) if the system is stable and is uniformly attractive in the sense that

\[
\forall \varepsilon > 0, \kappa > 0, \exists T \geq 0 \text{ s.t. } \forall (x_0, \sigma_0) \in S \text{ with } |x_0| \leq \kappa,
\sup_{t \geq T, u \in M_{\mathcal{U}}} |x(t, x_0, \sigma_0, u)| \leq \varepsilon.
\]
Notice that the uniformity in UGAS refers to the existence of uniform time \(T\) for attractivity. In the special case when \(\mathcal{U} = \{0\}\), the system becomes autonomous and stability, attractivity, uniform attractivity, GAS and UGAS reduce to the classical definitions (see, e.g., [16]) for autonomous systems.

### III. Motivation

Before studying the state-dependent switched system, we would like to review some ideas behind the elegant proof in [3] of the equivalence between GS plus AG and ISS for single-mode Lipschitz systems. Figure 1 shows a proof flow of the main result in that paper:

\[
\Sigma: \text{GS} + \text{AG} \iff \text{ISS} \quad \downarrow (a) \quad \downarrow (b) \quad \uparrow (c)
\]

\[
\Sigma^p: \text{stability} + \text{attractivity} \iff \text{GAS} \iff \text{UGAS}
\]

![Fig. 1. Proof flow of AG+GS=ISS](image)

In their proof, while the implication (f) in Figure 1 is trivial, the proof of the other direction is done by a detour via arguments on the auxiliary system \((\Sigma^p)\). Firstly (a) and (b) are proven by straightforward comparison function manipulation; (c) can be either concluded directly by invoking the converse Lyapunov theorem from [17], or again proven via comparison functions. In addition, (d) is the definition of GAS. The essential step is (e), which heavily depends on the property of continuous dependence of solutions on initial conditions induced by a Lipschitz vector field and an approximation of the limit of a sequence of infinite time horizon solutions with arbitrarily small error. Thanks to this key result of (e), there is no necessity to mention uniform convergence time for systems with Lipschitz vector fields whenever we are dealing with stability of systems and convergence of solutions. For example, ISS (with uniform convergence time implicitly embedded in the class \(KL\) function \(\beta\)) applied to an autonomous system yields the so-called 0-GAS property, which in fact should be more precisely referred to 0-UGAS. However, this equivalence between GAS and UGAS cannot be simply transferred to state-dependent switched systems, as illustrated by the following counterexample.

#### A. Counterexample

Consider a 2-dimensional, 2-mode system with

\[
S_1 = \{(x_1, x_2) \in \mathbb{R}^2: x_1 \geq 1\}, \quad S_2 = \mathbb{R}^2,
E_{1,2} = \partial S_1 = \{(1, x_2) : x_2 \in \mathbb{R}\},
\]

The subsystem dynamics of each mode is given by:

\[
f_1(x) = (\sqrt{x_1^2 + x_2^2} - 1) \left(-\frac{x_2}{x_1}\right), \quad f_2(x) = -\left(\frac{x_1}{x_2}\right).
\]

![Fig. 2. A 2-dimensional example which is GAS but not UGAS](image)

The mode regions and corresponding vector fields are shown in Fig 2. It is not hard to see that in Mode 1, the system solution is rotating counter-clockwise around the origin with angular velocity \(|x| - 1\). Since \(S_1\) is only the right half-plane with respect to the line \(x_1 = 1\), the rotation velocity is always positive in \(\text{int}\)\(S_1\) and the solution will eventually hit the boundary and switch to Mode 2. In Mode 2, the solution converges to the origin exponentially fast. Therefore, this system is stable and attractive, so it is GAS. Nevertheless, consider a solution with initial condition \(x_0 = (r, 0), \sigma_0 = 1\) where \(r > 1\) but very close to 1. It needs to rotate an angle of \(arccos\left(\frac{1}{r}\right)\) before it hits \(E_{1,2}\), hence it has to stay in mode 1 for a time \(\frac{arccos\left(\frac{1}{r}\right)}{r - 1}\), which tends to infinity when \(r \to 1^+\). Thus the convergence time is not uniformly bounded; the system is not uniformly attractive. Therefore, this system is not UGAS.
B. Additional assumptions

For simplicity, the assumptions in this subsection are expressed in terms of $f_i$, which can be translated to assumptions in terms of $f_i$ via (6). It is observed that in the previous example, the ill behavior of solutions arises in the neighborhood of state $(1, 0)$. We can prove the following theorem regarding GAS and UGAS in the discussion of A5 to Lemma 7 where it is used.

Theorem 1: There exist functions $g_i \in C^1(\mathbb{R}^n)$ such that each admissible region $S_i$ can be defined by $g_i$:

$$S_i = \{ x \in \mathbb{R}^n : g_i(x) \geq 0 \}, \quad i \in I. $$

In addition,

$$f_i^p(x, d) \cdot \nabla g_i(x) < 0 \quad \forall d \in D, x \in \partial S_i, i \in I. \quad (9)$$

By this definition, the boundaries of regions of system modes are $\partial S_i = \{ x \in S_i : g_i(x) = 0 \}$. For any $K \subset S$ (in most cases the mode element in $K$ is a singleton), the reachable set of the solutions of $(\Sigma^p)$ over the time interval $[0, T]$ starting from $K$ is denoted to be $R^T(K)$. In other words,

$$R^T(K) := \{ x^p(t, x_0, \sigma_0, d) : t \in [0, T], (x_0, \sigma_0) \in K, d \in M_D \}$$

To make the analysis easier, we also impose the two following assumptions here:

A4: For any $T \geq 0$ and compact set $K \subset S$, there exists $c > 0$ such that $R^T(K) \subseteq B_c$.

A5: The sets $F_i(x) := \{ f_i^p(x, d) : d \in D \}$ are convex for all $x \in \mathbb{R}^n, i \in I$. Assumption A4 means the reachable space over a compact set of initial conditions and finite time horizon is bounded. While this assumption is always true for single-mode, Lipschitz systems (see [17]), it is not clear for state-dependent switched systems. Nevertheless, if we are working on a compact state space, or $|f_i|$ are globally bounded, or some more knowledge of the system directly tells that every solution is bounded, then A4 would be true. We postpone the discussion of A5 to Lemma 7 where it is used.

IV. MAIN RESULTS

With the assumptions proposed in the previous section, we can prove the following theorem regarding GAS and UGAS in this paper:

Theorem 1 Let a state-dependent switched system $(\Sigma^p)$ be defined via (7), (8). Under assumptions A1–A5, $(\Sigma^p)$ is GAS if and only if it is UGAS.

Theorem 1 also leads to the main result of our work:

Theorem 2 Let a state-dependent switched system $(\Sigma)$ be defined via (4), (5) and assume it is GS and AG. There exists $\rho \in K_\infty$ such that if assumptions A1–A5 are satisfied with $f_i^p$ defined via (6), then $(\Sigma)$ is ISS.

Referring to Figure 1 and following the same proof flow, we will first prove some simple arrows in the figure, that is, (a) by Lemma 1, (b) by Lemma 2, and (c) by Lemma 4, respectively. We will then prove Theorem 1, which also leads to the arrow (e) in the figure. As that proof is the most critical component of this paper, it will be contributed by the entire Section VI, consisting of several lemmas. Now notice that the arrow (d) is simply the definition of GAS and (f) is still trivial in this case, subsequently we can conclude Theorem 2.

V. CONNECTION BETWEEN $(\Sigma)$ AND $(\Sigma^p)$

Without loss of generality we can assume the two $\gamma$ functions in the definition of GS and AG are identical and smooth. Define

$$\rho(s) := \gamma^{-1}(\frac{s}{2}). \quad (10)$$

Since $\gamma \in K_\infty$, $\rho(s)$ is also a class $K_\infty$ function and $\rho(0) = \frac{1}{2}$. Use this $\rho$ and define the corresponding auxiliary system, we can prove several relations between $(\Sigma)$ and $(\Sigma^p)$ in the following subsections.

A. GS to stability

Lemma 1 If $(\Sigma)$ is GS, then its auxiliary system $(\Sigma^p)$ is stable, where $\rho$ is defined via (10).

Proof: Let $\epsilon > 0$. Pick $\delta = \sigma^{-1}(\epsilon)$. GS implies

$$\sup_{t \geq 0} |x^p(t, x_0, \sigma_0, d)| = \sup_{t \geq 0} |x(t, x_0, \sigma_0, \rho(|x^p(t)|))d|$$

$$\leq \max\{\sigma(|x_0|), \gamma(|\rho(|x^p(t)|)|d(t)|\infty)\}$$

$$\leq \max\{\sigma(|x_0|), \gamma(|\rho(|x^p(t)|)|)\}$$

$$\leq \max\{\sigma(|x_0|), \frac{1}{2}|x^p(t)|\infty\}$$

Since $|x^p(t)|\infty$ is nothing but a different notation of $\sup_{t \geq 0} |x^p(t, x_0, \sigma_0, u)|$, the bound $\frac{1}{2}|x^p(t)|\infty$ is redundant. Hence when $|x_0| \leq \delta$, $\sup_{t \geq 0} |x^p(t, x_0, \sigma_0, u)| \leq \sigma(|x_0|) \leq \sigma(\delta) = \epsilon$ and the auxiliary system $(\Sigma^p)$ is stable. $\blacksquare$

B. AG to attractivity

Lemma 2 If $(\Sigma)$ is AG, then its auxiliary system $(\Sigma^p)$ is attractive, where $\rho$ is defined via (10).

Proof: By lemma II.1 in [3], AG is equivalent to the property

$$\limsup_{t \to \infty} |x(t, x_0, \sigma_0, u)| \leq \gamma \left( \limsup_{t \to \infty} |u(t)| \right)$$

for all $(x_0, \sigma_0) \in S, u \in M_D$ where $\gamma$ is the same as the one in the definition of AG. Fix $(x_0, \sigma_0) \in S$ and $d \in M_D$
and denote $x^\rho(t) := x^\rho(t, x_0, \sigma_0, d)$, we have
\[
\limsup_{t \to \infty} |x^\rho(t)| = \limsup_{t \to \infty} |x(t, x_0, \sigma_0, \rho(|x^\rho(t)|)d(t))|
\]
\[
\leq \gamma \left( \limsup_{t \to \infty} \rho(|x^\rho(t)|)d(t) \right)
\]
\[
\leq \limsup_{t \to \infty} \gamma(\rho(|x^\rho|))
\]
\[
\leq \frac{1}{2} \limsup_{t \to \infty} |x^\rho(t)|
\]
which implies $\limsup_{t \to \infty} x^\rho(t) = 0$. Thus the system ($\Sigma^\rho$) is attractive.

C. UGAS and ISS

First of all, we provide an alternative definition of UGAS via a class $K\mathcal{L}$ function:

Lemma 3 A system ($\Sigma^\rho$) is UGAS if and only if there is a class $K\mathcal{L}$ function $\beta$ such that
\[
|x^\rho(t, x_0, \sigma_0, d)| \leq \beta(|x_0|, t)
\]
for all $(x_0, \sigma_0) \in \mathcal{S}, d \in \mathcal{M}_D$.

The proof is similar to that for the autonomous version of Lemma 4.5 in [16], which can be found in its appendix and hence omitted here. It is noticed that since conversely Lyapunov theorem may not hold for state-dependent switched systems, the existence of a Lyapunov function $V$ can not be assumed when showing UGAS implies ISS; nevertheless, by using the alternative definition of UGAS in Lemma 11 and assuming that ($\Sigma$) is GS, we can still derive this implication via comparison functions:

Lemma 4 Assume that the system ($\Sigma$) is GS. Then it is also ISS if and only if its auxiliary system ($\Sigma^\rho$) is UGAS where $\rho$ is defined via (10).

Proof: When ($\Sigma$) is ISS, by definition there exists $\beta \in K\mathcal{L}, \gamma \in K_\infty$ such that for all $(x_0, \sigma_0) \in \mathcal{S}, u \in \mathcal{M}_U$,
\[
x(t, x_0, \sigma_0, u) \leq \beta(|x_0|, t) + \gamma(||u||_{[0,1]})
\]
For any $d \in \mathcal{M}_D$,
\[
x^\rho(t, x_0, \sigma_0, d) = |x(t, x_0, \sigma_0, \rho(|x^\rho|)d)|
\]
\[
\leq \beta(|x_0|, t) + \gamma(\rho(|x^\rho|)||d||_{[0,1]})
\]
\[
\leq \beta(|x_0|, t) + \gamma \rho(|x^\rho(t)|)
\]
\[
= \beta(|x_0|, t) + \frac{|x^\rho(t)|}{2}
\]
Hence $|x^\rho(t, x_0, \sigma_0, d)| \leq 2\beta(|x_0|, t)$. Because $2\beta$ is also a class $K\mathcal{L}$ function, by Lemma 3 ($\Sigma^\rho$) is UGAS.

To show that UGAS ($\Sigma^\rho$) implies ISS ($\Sigma$), consider a solution of ($\Sigma$). For any initial state $(x_0, \sigma_0) \in \mathcal{S}$, any control $u \in \mathcal{M}_U$, define $t_0 := \inf\{t \geq 0 : ||u||_{[t,\infty)} \geq \rho(|x(t)|)\}$ ($t_0 = \infty$ when the set is empty). Let
\[
d(t) := \begin{cases} u(t) / \rho(|x(t)|) & t < t_0 \\ 0 & t \geq t_0 \end{cases}
\]
By definition of $t_0$, $|u(t)| \leq \rho(|x(t)|)$ for all $t \in [0, t_0)$ hence $d(t) \in \mathcal{M}_D$. Thus for $t \in [0, t_0)$
\[
x(t, x_0, \sigma_0, u) = x(t, x_0, \sigma_0, \rho(|x|)d) = x^\rho(t, x_0, \sigma_0, d)
\]
Then by Lemma 3, we have $|x(t, x_0, \sigma_0, u)| \leq \beta(|x_0|, t)$. Notice that this $\beta$ is independent of $t_0$. ISS is shown when $t_0 = \infty$. Otherwise, notice that $||u||_{[t_0, \infty)}$ is a non-increasing function of $t$ and $\rho(|x(t)|)$ is continuous with respect to $t$, from the definition of $t_0$ we must have $||u||_{[t_0, \infty)} \geq \rho(|x(t_0)|)$. Because the system ($\Sigma$) is assumed to be GS and time invariant, take $t_0$ as the initial time and we have that for all $t \geq t_0$,
\[
|x(t)| \leq \max\{\sigma(|x(t_0)|), \gamma(||u||_{[t_0, \infty)})\}
\]
\[
\leq \max\{\sigma \circ \rho^{-1}(||u||_{[t_0, \infty)}), \gamma(||u||_{[t_0, \infty)})\}
\]
\[
\gamma'(s) = \max\{\sigma \circ \rho^{-1}(s), \gamma(s)\}. \text{ Combine the two parts together we have } |x(t)| \leq \beta(|x_0|, t) + \gamma'(||u||_\infty) \text{ for all } t \geq 0.
\]
Observe that $t_0$ does not appear in the above bound so it is true for all $x_0, \sigma_0, u$. Appealing to causality we can replace $||u||_{[0, t]}$ by $||u||_{[0, t]}$ and hence we have shown ISS.

VI. GAS to UGAS

The special properties of state-dependent switched systems are not required for the proofs for the lemmas in Section V; they will only appear when we show the implication from GAS to UGAS. For convenience we will omit the superscripts of $\rho$ on $f_\rho$ and $x^\rho$ only in this section as everything will be discussed on the auxiliary system.

A. Transversality

We first conclude an important result from the transversality assumption A3. The following lemma suggests whenever a solution is very close to the switching guards, it is guaranteed to hit the switching guards within a time that is proportional to the distance the current state is away from the guards.

Lemma 5 When A3 is true, for any $T > 0$ and any compact set $K \subseteq \mathcal{S}$, there exists $r_1 > 0, \mu > 0$ such that if $|x(s, x_0, \sigma_0, d) - y| \leq r_1$ for some $s \leq T$, $(x_0, \sigma_0) \in K, d \in \mathcal{M}_D$ and $y \in \partial S_{\sigma_0}$, then $x(s + \Delta, x_0, \sigma_0, d) \in \partial S_{\sigma_0}$ for some $\Delta \leq \mu|x(s, x_0, \sigma_0, d) - y|$.

Proof: By A4 there exists $c' > 0$ such that $\mathcal{R}^T(K) \subseteq B_{c'}$. Let $h > 0$ and $c = c' + h$, then $B_c$ contains the dilated reachable set $B_h(\mathcal{R}^T(K))$. Define
\[
M := \sup_{x \in B_c, d \in \mathcal{D}, \delta \in I} |f_i(x, d)|. \tag{12}
\]
Since $g_i \in C^1$, let $L_2$ be the common Lipschitz constant on all $g_i$’s over $B_c$. (recall $g_i$’s define the guards for switch). For any $\gamma > 0$, define sets
\[
N_i(\gamma) := \{x \in B_c : d(x, \partial S_\sigma) \leq \gamma\}, \quad i \in I
\]
Notice that by this definition, $N_i(\gamma)$ is compact. Thus by A3 and continuity of the function $f_i(x, d) \cdot \nabla g_i(x)$ with respect
to $x$ and $d$, we know that there exists $a > 0, r_1 > 0$ such that $f_i(x, d) \cdot \nabla g_i(x) \leq -a$ for all $i \in I, u \in D, x \in N_1(r_1)$. We pick $r_1$ sufficiently small so that $r_1 \leq \min\{h, \frac{a}{\|d\|}\}$.

When $y \in \partial S_{\sigma}(x), \sigma_{\theta_0}(y) = 0$ by definition of $\partial S_{\theta_0}$. If $|x(s) - y| \leq r_1$, we have $d(x(s), \partial S_{\theta_0}) \leq r_1$; in addition $x(s) \in B_{\epsilon} \subset \bar{B}_c$, so $x(s) \in N_i(r_1)$. Evaluate $g_{\sigma_0}(x(t))$ as a function of time along the solution starting at time $s$,

$$
\frac{d}{dt} g_{\sigma_0}(x(t))|_{t=s} = \nabla g_{\sigma_0}(x) \cdot f_{\sigma_0}(x, u)|_{t=s} \leq -a,
$$

$$
g_{\sigma_0}(x(s)) = g_{\sigma_0}(x(s)) - g_{\sigma_0}(y) \leq L_2|x(s) - y|.
$$

It means that $g(x(t, \xi, u))$ is decreasing at rate $-a$ at least, starting from a value no larger than $L_2|x(s) - y|$, by taking $r_1$ sufficiently small, $x(t)$ will stay in $N_{\sigma_0}(r_1)$ while decreasing $g(x(t))$ and hence the value has to drop to 0, that is, $x(t)$ will hit $\partial S_{\sigma_0}$ after time $\Delta \leq \mu|x(s) - y|$ where $\mu := \frac{L_2}{a}$. In addition for any $\tau \in [s, s + \mu r_1]$,

$$
|x(\tau)| \leq |x(s)| + M\mu r_1 \leq c' + \frac{ML_2}{a} r_1 \leq c' + h = c
$$

which implies $x(\tau) \in B_c$ so $L_2, M$ are indeed valid along the solution over time $[s, s + \Delta] \subseteq [s, s + \mu r_1]$.

Now with the help of the other assumptions, we can show there are more nice properties on this type of state dependent switched system.

B. Convergent switching time

With the help of Lemma 5 and the other assumptions in the theorem statement, we can now show that adjacent solutions of the state-dependent switched system switch at similar time. To be more precise, let $K \subseteq S$ be a compact set and pick a convergent sequence of initial conditions $(x_0, \sigma_0) \in K$. Denote $x^k(t) := x^k(t, x_0, \sigma_0, d^k), \sigma^k(t) := \sigma^k(t, x_0, \sigma_0, d^k)$ where $d^k \in M_D$. Suppose that $x^k(t) \to \theta(t) \in \mathbb{R}^n$ for all $t \geq 0$ point wisely. Clearly we should have $\theta(0) = \lim_{t \to \infty} x^k(0)$. It is not hard to see that $x^k(t)$ are locally equicontinuous so the limit $\theta(t)$ is continuous. Keep in mind that $\theta$ may not be a solution so “switches” on $\theta$ are not defined. Alternatively, we can recursively define

$$
t_0 = 0, t_j = \min\{t \geq t_{j-1} : \theta(t) \in \partial S_{\sigma_{j-1}}\},
$$

with $\sigma_j$ defined such that $\theta(t_j) \in E_{\sigma_{j-1}, \sigma_j}$ for $j \geq 1$. Similar switching time means:

Lemma 6 For any $T > 0$, there exists a $\tilde{k}$ such that for each $j \geq 1$ and $t_j < T$ as defined via (13), there will be a sequence of time $t^\prime_k$ when all the solutions $x^k(t)$ with $k \geq \tilde{k}$ will switch, in the sense that $\sigma^k(t_j+1) = \sigma_{j-1}, x^k(t_j+1) \in E_{\sigma_{j-1}, \sigma_j}$. In addition, $\lim_{k \to \infty} t^\prime_k = t_j$ and $\lim_{k \to \infty} x^k(t^\prime_k) = \theta(t_j)$.

Proof: We start from $j = 1$. From the given $T$ and $K$ we can derive $r_1, \mu$ according to Lemma 5. Because $x^k \to \theta$ uniformly over time $[0, t_1]$, there exists $k_1$ such that $\|x^k(t_1) - \theta(t_1)\| \leq r_1$ for all $k, k' \geq k_1$, in particular we conclude $|x^k(t_1) - \theta(t_1)| \leq r_1$. If there is no switch on $x^k(t)$ over time $[0, t_1]$, the solution is still in mode $\sigma_0$ at $t_1$. Because $\theta(t_1) \in \partial S_{\sigma_0}$, by Lemma 5, there will be a switch at $t^1_k = t_1 + \Delta$ with $\Delta \leq \mu|x^k(t_1) - \theta(t_1)|$. In addition, $x^k(t_1) \to \theta(t_1)$ as $k \to \infty$ implies $t^1_k \to t_1$. The lemma is almost proven if there are only finitely many solutions switches at $t^1_k$ with $t^1_k \leq t_1$. Otherwise, consider the subsequence of such “early switched” solutions and still call them $x^k$, from which we compare two solutions with index $k, k'$. Without loss of generality, we assume $t^1_k \leq t^1_{k'}$. Because $|x^k(t^1_k) - x^{k'}(t^1_{k'})| \leq r_1$ and $x^k(t^1_k) \in \partial S_{\sigma_0}$, again by Lemma 5 we conclude that $|t^1_k - t^1_{k'}| \leq \mu|x^k(t^1_k) - x^{k'}(t^1_{k'})| \leq \mu\|x^k - x^{k'}\|_{[0,1]}$.

The most right hand term can be made arbitrarily small by taking $k, k'$ large enough, which means $t^1_k$ is convergent by Cauchy Convergence Theorem. Denote $\lim_{k \to \infty} t^1_k := t^1$. Equicontinuity of $x^k$ implies the sequence of states $x^k(t^1_k)$ converges as well and $\lim_{k \to \infty} x^k(t^1_k) = \lim_{k \to \infty} x^k(t_1) = \theta(t_1)$. Now let $\partial S_{\sigma_0} := \partial S_{\sigma_0} \cap B_c, \partial E_{\sigma_1} := E_{\sigma_1} \cap B_c$ where $c$ is the radius of ball $B_c \supseteq \mathbb{R}^{T+\mu r_1(K)}$ from assumption A4. By definition all of $\partial S_{\sigma_0}, E_{\sigma_1}$ are compact and thus from assumptions A1 and A2 we know that there exists $r_2, r_3 > 0$ such that for all $i, j, k \in I, i \neq j$

$$
r_2 \leq d(E_{\sigma_i}, E_{\sigma_j}),
$$

$$
r_3 \leq d(E_{\sigma_i}, \partial S_{\sigma_j}),
$$

Convergence of $x^k(t^1_k)$ suggests that there exists $k_2$ such that $|x^k(t^1_k) - x^{k'}(t^1_{k'})| < r_2$ for all $k, k' \geq k_2$. Hence they should be hitting the same switch guard, say $x^k(t^1_k) \in E_{\sigma_0,i}$. This means $\sigma^k(t^1_k) = i$ for all $k \geq \max\{k_1, k_2\}$. In addition because switch guards are closed, the limit of $x^k(t^1_k)$, $\theta(t_1) \in E_{\sigma_{0,i}}$ as well. Now because the definition of $t_1$ in (13) suggests that it is the first time $\theta$ hits any switch guards, we must have $t_1 = t_1$ and $i = \sigma_1$. The lemma is proven for the case $j = 1$.

For $j > 1$, convergence of $t^k$ means $|t^k_j - t^k_{j-1}| < \frac{r_2}{r_3}$ for all $k, k' \geq k_3$ where $M$ is defined via (12) over $B_c$. Denote $t^\prime_j := \sup\{t^k_j : \max\{t^k_j, t^1_k\} \leq t_1\}$. Then we see $|x^k(t^\prime_j) - x^k(t_1)| \leq M\|t^\prime_j - t_1\| < r_3$, meaning there is no second switch on any solution $x^k$ before time $t^\prime_j$. In other words, $\sigma^k(t^\prime_j) = \sigma_1$ for all $k \geq \tilde{k} := \max\{k_1, k_2, k_3\}$. Reset $t^\prime_j$ to be the initial time and we can inductively prove the rest cases.

C. Compact infinite time horizon solution space

The next lemma is a similar to the Lemma III.2 in [3]. It is noticed that their lemma only guarantees the existence of an approximated solution, which is based on construction of reverse time solution. However, in the case of state dependent switched system with overlapped admissible regions $S$, the reverse time solution is actually not well defined so we cannot use that approach. Instead, we try to directly prove that there exists a limit curve and it is an infinite horizon solution, with the convexity assumption A5. First, for any set $\Omega \subseteq \mathbb{R}^n$, define function $\tau_I : C^0([0, \infty) \to \mathbb{R}^n) \to \mathbb{R}^n_{\geq 0}$ as

$$
\tau_I(x) := \inf_{t \geq 0} \{t : x(t) \in \Omega\}.
$$
This is the hitting time of a solution to the set $\Omega$. To be complete, we say $\tau_{\Omega}(x) = \infty$ if $x(t) \not\in \Omega$ for all $t \geq 0$.

**Lemma 7** Let $K \subseteq S$ be a compact subset and $\Omega \subseteq \mathbb{R}^n$ be an open subset. If

$$\sup_{(x_0,\sigma_0) \in K \times \mathcal{D}_\sigma} \tau_{\Omega}(x(t, x_0, \sigma_0, d)) = \infty,$$

then there exists $(x^*, \sigma^*) \in K \times V \in \mathcal{M}_D$ such that

$$\tau_{\Omega}(x(t, x^*, \sigma^*, v)) = \infty.$$

**Proof:** The proof consists of two parts. The first part is to show that under the hypothesis, there exists a curve that never intersects $\Omega$. To do this, observe that the hypothesis in this lemma means there exists a sequence of solutions $x^k(t)$ such that $\tau_{\Omega}(x^k) > k$ for all $k \in \mathbb{N}$. Because all $x^k(t)$ are uniformly bounded and equicontinuous over time $[0, 1]$, by Azebra-Ascoli Theorem there exists a convergent subsequence $x^g(t)$ from $x^k(t)$ that converges uniformly over the time interval $[0, 1]$. Notice that the same argument can be applied inductively on any time interval $[0, i], i \in \mathbb{N}$ and there will exists a subsequence $x^g(t)$ from $x^g(t)$ with $g_0(t) = k$ that converges uniformly over $[0, i]$. In addition, we see that their limits are partially identical: $\lim_{k \to \infty} x^g(t) = \lim_{k \to \infty} x^g(t)$ for all $t \in [0, \min\{i, j\}]$. Thus we have constructed a continuous curve $\theta(t)$ such that for any $T > 0$, the sequence $x^g(t)$ converges to $\theta(t)$ uniformly for $t \in [0, T)$. Recall that by definition the solution $x^g(t)$ has a hitting time larger than $g(t)$ and in addition since it is a subsequence of $x^k$, $\tau_{\Omega}(x^g(t)) \geq g(t)$ for all $t \in [0, k]$. Because $\Omega$ is open, $\mathbb{R}^n \setminus \Omega$ is closed so $\theta(t) = \lim_{k \to \infty} x^g(t) \in \mathbb{R}^n \setminus \Omega$ for all $t \in [0, T)$. Lastly, because this is arbitrary, $\theta(t) \not\in \Omega$ for all $t \geq 0$. This completes the first part of the proof.

The second part is to show that $\theta(t)$ is a solution to the system $(\Sigma')$. Without loss of generality assume the second element in $K$ is a singleton; hence we must have $\sigma^* = \sigma_0$. Define the sequence of $t_j$ on $\theta(t)$ as in (13). By A1 we know that in fact $t_j < t_{j+1}$ for all $j$. From now on we relabel the convergent subsequence $x^g(t)$ as $x^k$ for convenience. We define a solution $\theta^k(t)$ over the time interval $[t_j, t_{j+1}]$ by the dynamics

$$\dot{\theta}^k(t) = f_\sigma(\theta^k, d^k)$$

with initial condition $\theta^k(t_j) = \theta(t_j)$. Figure 3 is an illustration of the relation between $x^k, \theta$ and $\theta^k$. In order to prove that $\theta$ is a solution, we first show that $\theta^k \to \theta$ uniformly over $[t_j, t_{j+1}]$ for all $t_j$. Let $\delta_1, \delta_2 > 0$. By Lemma 6, there exists $k_1 \in \mathbb{N}$ such that as long as $k \geq k_1$, $|t_{j+1}^k - t_j| \leq \delta_1$, $|t_{j+1}^k - t_{j+1}| \leq \delta_1$ and $x^k(t_j^k) \in E_{S_{\sigma_j-1, \sigma_j}}, x^k(t_{j+1}^k) \in E_{S_{\sigma_j+1, \sigma_j}}$. In addition because $x^k(t)$ converges to $\theta(t)$ uniformly over $[t_j, t_{j+1}] \subseteq [0, T]$, we should have $k_2 \in \mathbb{N}$ such that for all $k \geq k_2$, $|x^k(t) - \theta(t)| \leq \delta_2$ for all $t \in [t_j, t_{j+1}]$. Now if $t_{j+1}^k \leq t_j$, $|x^k(t_j) - \theta(t_j)| = |x^k(t_j) - \theta(t_j)| \leq \delta_2$. Otherwise, $\sigma^k(t) = \sigma_j-1$ for all $t \in [t_j, t_{j+1}]$, meaning there is no switch on the solution $x^k$ over this time interval so $|x^k(t) - \theta(t)| = \delta_1$. Thus we have

$$|x^k(t) - \theta(t)| = \delta_1 \leq 2M|t_j - \theta(t)| = \delta_1.$$
the contrapositive argument of Lemma 7 we conclude that 
\[ \sup_{\xi,i \in K_0 \in M \in \mathcal{P}} \tau(\xi, i, \Omega, u) < \infty. \] In other words, there exists \( T := \tau(\kappa, \delta) \) such that \( x(\tau, \xi, i, u) \in \Omega \) for \( \tau \leq T \) and all \( (\xi, i) \in K, d \in M \). Because the system is time-invariant, with the aforementioned stability we conclude that \( \lim \sup_{T,i \in M} |x(t, \xi, i, d)| \leq \varepsilon \) for all \( (\xi, i) \in \Sigma \) with \( |\xi| \leq \kappa \). Because \( T \) only depends on \( \kappa \) and \( \varepsilon \), which further depends on \( \varepsilon \), the system \( \Sigma \) is uniformly attractive in addition to being stable, and hence it is UGAS.

VII. DISCUSSION AND FUTURE WORK

We would like to discuss another possible approach to showing the equivalence between GAS and UGAS via some results given in [11]. For a hybrid system \( \mathcal{H} \) defined via

\[
\begin{cases} 
\dot{x} \in F(x) & \text{if } x \in C \\
x^+ \in G(x) & \text{if } x \in D
\end{cases}
\]

Theorem 7.12 in this reference says that as long as \( C, D \) are closed, \( F, G \) are outer semicontinuous, locally bounded and \( F(x) \) is convex for all \( x \in C \), then GAS is equivalent to UGAS. In order to deploy this theorem, we need to combine state \( x \) and mode \( \sigma \) as the hybrid state as well as define \( C := \bigcap_{i \in I} (S_i, \{i\}) \), \( D := \bigcap_{i \in I} (\partial S_i, \{i\}) \). Notice that if this transformation, although \( C \) and \( D \) are both closed, there are possible overlaps between them. As a result, when a solution reaches \( \partial S_i \), \( \mathcal{H} \) either allows the solution to keep flowing continuously inside \( \partial S_i \) without switch, or a switch occurs and the mode jumps. In other words, \( \mathcal{H} \) is different from \( (\Sigma^p) \) as it allows non-unique solutions. Nevertheless, under the transversality assumption, the first situation cannot happen; thus indeed the system \( \mathcal{H} \) has same solutions as \( (\Sigma^p) \). Additionally, using this approach we see that Lipschitzness on \( f_i \)'s can be replaced by outer semicontinuity and Assumption 4 becomes redundant. Nevertheless, it is worth pointing out that our approach used in this paper is from scratch and does not require the framework of hybrid systems from [11], the transformation of models from state-dependent switched system to hybrid system is not apparent, and analysis in hybrid time domain requires some extra work. Besides, Lemma 5, Lemma 6 and their proofs also reveal some robustness related properties on the state-dependent switched system with the presence of transversality.

In addition, we have required in A5 that the vector fields \( f_i \)'s be convex. As discussed earlier, this assumption is needed to show that the limit of sequence of solutions is also a solution. In fact, we can relax this; as long as we can approximate the limit of a sequence of solutions by a solution on the infinite time horizon within an arbitrary uniform \( \varepsilon \)-tube, we can still show the existence of a uniform convergence time. This is closely related to the Filippov-Wazewski Relaxation Theorem, and [19] gives an infinite time horizon version. This relaxation in the context of state-dependent switched systems will be another possible research direction.

At last, as partially revealed in the proof of Lemma 7, it is observed that A1-A4 also imply that our system has the property of continuous dependence of solutions on initial conditions. This continuous dependence is considered to be an overkill for showing the equivalence between GAS and UGAS, and therefore we would like to develop another set of assumptions which does not restrict the system studied to have continuous dependence on initial conditions yet still allows us to show the implication from GS and AG to ISS.

VIII. CONCLUSION

In this paper we have first proposed several additional assumptions for a GAS state-dependent switched system to be UGAS. Based on this equivalence we then proved that if a state-dependent switched system has the GS and AG properties, then it is ISS as well.

REFERENCES